



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): J.L. Hellerstein et al.
Docket No.: YOR920000146US1
Serial No.: 09/591,122
Filing Date: June 9, 2000
Group: 2123
Examiner: Kandasamy Thangavelu

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: [Signature] Date: November 24, 2003

Title: System and Method for On-Line Adaptive Prediction
Using Dynamic Management of Multiple Sub-Models

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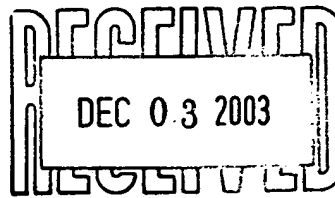
AMENDMENT TRANSMITTAL

DEC 02 2003

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Technology Center 2100

Sir:



Submitted herewith are the following documents relating to the above-identified patent application:

- (1) Amendment and Response to Office Action;
- (2) Transmittal of Formal Drawings; and
- (3) Formal Drawings (5 sheets).

There is no additional fee due in conjunction with the Amendment. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted,

[Signature]

Date: November 24, 2003

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Attorney Docket No. YOR920000146US1

#5/A
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Signature: V. Bencivenga Date: November 24, 2003

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Technology Center 2100

AMENDMENT AND RESPONSE TO OFFICE ACTION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the outstanding Office Action dated August 22, 2003, please amend the above-identified application as follows: